

QUERY CONTROL FORM		RTIS USE ONLY	
Application No. <u>09/447,505</u>	Prepared by <u>Lois Stone</u>	Tracking Number <u>5863949</u>	
Examiner-GAU <u>Fox - 1638</u>	Date <u>12/18/03</u>	Week Date <u>11/24/03</u>	
	No. of queries <u>1</u>	IFW	

JACKET			
a. Serial No.	f. Foreign Priority	k. Print Claim(s)	<u>d. PTO-1449</u>
b. Applicant(s)	g. Disclaimer	l. Print Fig.	q. PTOL-85b
c. Continuing Data	h. Microfiche Appendix	m. Searched Column	r. Abstract
d. PCT	i. Title	n. PTO-270/328	s. Sheets/Figs
e. Domestic Priority	j. Claims Allowed	o. PTO-892	t. Other

SPECIFICATION	MESSAGE
a. Page Missing	<p>Please provide copies of the PTO-1449 forms with the citations either initialed or lined through. Copies provided for reference.</p>
b. Text Continuity	
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d. Other Missing Text	
e. Illegible Text	
f. Duplicate Text	
g. Brief Description	
h. Sequence Listing	
i. Appendix	
j. Amendments	
k. Other	
<b>CLAIMS</b>	
a. Claim(s) Missing	
b. Improper Dependency	
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d. Incorrect Numbering	
e. Index Disagrees	
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g. Amendments	
h. Bracketing	
i. Missing Text	
j. Duplicate Text	
k. Other	
	initials <u>CS</u>
	<b>RESPONSE</b>
	initials

PATENT

DOCKET NO. CGNE.023.08US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 4JC568 U.S. PTO  
09/447505  
11/23/99

In re application of: Goodman, <i>et al</i>	}	
	}	Art Unit: Not Yet Assigned
Serial No. Not Yet Assigned	}	
	}	Examiner: Not Yet Assigned
Filed: November 23, 1999	}	

## U. S. PATENT DOCUMENTS

<u>Ref.</u> <u>Design</u>	<u>Examiner's</u> <u>Initials</u>	<u>Document</u> <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class/</u> <u>Subclass</u>	<u>(If appropriate)</u> <u>Filing Date</u>
A1		4,399,216	08/16/83	Axel <i>et al.</i>	435/68	2/25/80
A2		4,530,901	07/23/85	Weissman	435/70	2/4/80
A3		4,216,203	8/1980	Johnston	424/85	7/14/78
A4		4,278,661	7/1981	Knight, Jr.	424/85	10/12/79
A5		4,771,002	9/1998	Gelvin	435/172.3	2/24/84
A6		4,956,282	9/1990	Goodman <i>et al.</i>	435/69.51	7/29/85
A7		5,102,796	4/1992	Hall <i>et al.</i>	435/172.3	1/20/88
A8		5,550,038	8/1996	Goodman <i>et al.</i>	435/70.1	12/8/93
A9		5,629,175	5/1997	Goodman <i>et al.</i>	435/69.1	6/5/95

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609.  
Draw a line through the citation if not in conformance and not considered. Include a copy of this  
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FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
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(Use several sheets if necessary)

Sheet 2 of 4

JC568 U.S. PTO  
09/447505  
11/23/99

In re application of: Goodman, *et al* )  
Serial No. Not Yet Assigned ) Art Unit: Not Yet Assigned  
Filed: November 23, 1999 ) Examiner: Not Yet Assigned

FOREIGN PATENT DOCUMENTS

<u>Ref.</u> <u>Desig.n</u>	<u>Examiner's</u> <u>Initials</u>	<u>Document</u> <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class/</u> <u>Subclass</u>	<u>(If appropriate)</u> <u>Filing Date</u>
B1		WO84/02913	02/08/84	PCT		
B2		WO84/02920	02/08/84	PCT		
B3		WO84/00466	16/02/84	PCT		
B4		EPA 0 095 350	30/11/83	EPO		
B5		EPA 0 135 291	27/03/85	EPO		
B6		WO85/04899	07/11/85	PCT		
B7		EPA 0 108 580	16/05/84	EPO		
B8		WO87/00551	01/28/87	PCT		

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

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FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
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(Use several sheets if necessary)  
Sheet 3 of 4

JCS60 U.S. PTO  
09/447505  
11/23/99

In re application of: Goodman, <i>et al</i>	}	
	}	Art Unit: Not Yet Assigned
Serial No. Not Yet Assigned	}	
	}	Examiner: Not Yet Assigned
Filed: November 23, 1999	}	

- C1 Depicker *et al.*, Genetic Engineering of Plant Cells- An. Agr. Persp. (1983 Plenum Press, NY. NY. pgs. 144-148
- C2 Fraley, R.T. *et al.*, "Use of a chimeric gene to confer antibiotic resistance to plant cells," Adv. Gene Technol. Miami Winter Symp. 20 pp. 211-21
- C3 Fraley *et al.*, BioTechnology (1985) 3:629-635
- C4 Fraley *et al.*, Proc. Natl. Acad. Sci. USA (1983) 80:4803-4807
- C5 Goodman *et al.*, Science (1987) 236: 48-54
- C6 Gray *et al.*, Nature (1982) 295:503-508
- C7 Hernalsteens *et al.*, EMBO, (1984) 3(13): 3039-3041
- C8 Herrera-Estrella *et al.*, Nature, 1984 310: 115-120
- C9 Hiatt *et al.*, Biotechnology, (1989) 342: 77-78
- C10 Hooykaas-Van Slogteren *et al.*, Nature, (1984) 311: 763-764
- C11 Jaynes and Strobel, International Review of Cytology (1981) Supp 13: 105-125
- C12 Koncz *et al.*, EMBO (1984) 3(5): 1029-1037
- C13 Leemans *et al.*, Molecular Biology of Plant Tumors (1982) Academic Press, N.Y., N.Y. pgs. 537-545

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(Use several sheets if necessary)

Sheet 4 of 4



In re application of: Goodman, <i>et al</i>	}	
	}	Art Unit: Not Yet Assigned
Serial No. Not Yet Assigned	}	
	}	Examiner: Not Yet Assigned
Filed: November 23, 1999	}	

C14        Lefebure *et al.*, Biotechnology (1987) 5: 1053-1056

C15        Murai *et al.*, Science (1983) 222: 476-482

C16        Odell *et al.*, Nature 313: 810-812

C17        Paszkowski *et al.*, EMBO (1984) 3: 2717-2722

C18        Potrykus *et al.*, Mol Gen. Genet. (1985) 199: 183-188

C19        Shaw *et al.*, Gene (1983) 23:315-330

C20        Sijmons *et al.*, Biotechnology (1990) 8:217-221

C21        Velten *et al.*, EMBO (1984) 3: 2723-2730

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